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Approved for use through 10/31/2002. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 500.34397CV2
 First Inventor Hideo MIURA et al.
 Title See 1 in Addendum
 Express Mail Label No.

Only for new nonprovisional applications under 37 CFR 1.53(b)

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification *(preferred arrangement set forth below)* [Total Pages 33]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 2]
 a. ☐ Newly executed (original or copy)
 b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 c. ☐ DELETION OF INVENTOR(S)
*Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).*
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document *(if applicable)*
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
 Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35
 or its equivalent.
17. ☒ Other: Claim for Priority.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
 or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 08 / 610,488

Prior application information.

Examiner T Dang

Group Art Unit: 2823

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
 Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 020457
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Ralph T. Webb

Registration No. (Attorney/Agent)

33,047

Signature

Date 10/16/2001

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Addendum

1. SEMICONDUCTOR DEVICE AND PRODUCTION THEREOF

0997207-101601

10/16/01
 1129 U.S. PTO

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB-09-12.
 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 740.00

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Even Date Herewith
First Named Inventor	Hideo MIURA et al.
Examiner Name	T. Dang (Anticipated)
Group / Art Unit	2823 (Anticipated)
Attorney Docket No.	500.34397CV2

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 01-2135 Deposit Account Name: Antonelli, Terry, Stout & Kraus, LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205 65 Surcharge - late filing fee or oath</td> <td>0.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139 130 Non-English specification</td> <td>0.00</td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147 2,520 For filing a request for reexamination</td> <td>0.00</td> </tr> <tr> <td>112</td> <td>920*</td> <td>112 920* Requesting publication of SIR prior to Examiner action</td> <td>0.00</td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113 1,840* Requesting publication of SIR after Examiner action</td> <td>0.00</td> </tr> <tr> <td>115</td> <td>110</td> <td>215 55 Extension for reply within first month</td> <td>0.00</td> </tr> <tr> <td>116</td> <td>380</td> <td>216 190 Extension for reply within second month</td> <td>0.00</td> </tr> <tr> <td>117</td> <td>870</td> <td>217 435 Extension for reply within third month</td> <td>0.00</td> </tr> <tr> <td>118</td> <td>1,360</td> <td>218 680 Extension for reply within fourth month</td> <td>0.00</td> </tr> <tr> <td>126</td> <td>1,850</td> <td>228 925 Extension for reply within fifth month</td> <td>0.00</td> </tr> <tr> <td>119</td> <td>300</td> <td>219 150 Notice of Appeal</td> <td>0.00</td> </tr> <tr> <td>120</td> <td>300</td> <td>220 150 Filing a brief in support of an appeal</td> <td>0.00</td> </tr> <tr> <td>121</td> <td>280</td> <td>221 130 Request for oral hearing</td> <td>0.00</td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138 1,510 Petition to institute a public use proceeding</td> <td>0.00</td> </tr> <tr> <td>140</td> <td>110</td> <td>240 55 Petition to revive - unavoidable</td> <td>0.00</td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241 605 Petition to revive - unintentional</td> <td>0.00</td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242 605 Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243 215 Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244 290 Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122 130 Petitions to the Commissioner</td> <td>0.00</td> </tr> <tr> <td>123</td> <td>50</td> <td>123 50 Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126 240 Submission of Information Disclosure Stmt</td> <td>0.00</td> </tr> <tr> <td>581</td> <td>40</td> <td>581 40 Recording each patent assignment per property (times number of properties)</td> <td>0.00</td> </tr> <tr> <td>146</td> <td>690</td> <td>246 345 Filing a submission after final rejection (37 CFR § 1.129(a))</td> <td>0.00</td> </tr> <tr> <td>149</td> <td>690</td> <td>249 345 For each additional invention to be examined (37 CFR § 1.129(b))</td> <td>0.00</td> </tr> <tr> <td colspan="2">Other fee (specify) _____</td> <td></td> <td>0.00</td> </tr> <tr> <td colspan="2">Other fee (specify) _____</td> <td></td> <td>0.00</td> </tr> <tr> <td colspan="2">SUBTOTAL (1) (\$ 740.00)</td> <td colspan="2"></td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	0.00	127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification	0.00	147	2,520	147 2,520 For filing a request for reexamination	0.00	112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00	113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00	115	110	215 55 Extension for reply within first month	0.00	116	380	216 190 Extension for reply within second month	0.00	117	870	217 435 Extension for reply within third month	0.00	118	1,360	218 680 Extension for reply within fourth month	0.00	126	1,850	228 925 Extension for reply within fifth month	0.00	119	300	219 150 Notice of Appeal	0.00	120	300	220 150 Filing a brief in support of an appeal	0.00	121	280	221 130 Request for oral hearing	0.00	138	1,510	138 1,510 Petition to institute a public use proceeding	0.00	140	110	240 55 Petition to revive - unavoidable	0.00	141	1,210	241 605 Petition to revive - unintentional	0.00	142	1,210	242 605 Utility issue fee (or reissue)		143	430	243 215 Design issue fee		144	580	244 290 Plant issue fee		122	130	122 130 Petitions to the Commissioner	0.00	123	50	123 50 Petitions related to provisional applications		126	240	126 240 Submission of Information Disclosure Stmt	0.00	581	40	581 40 Recording each patent assignment per property (times number of properties)	0.00	146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____			0.00	Other fee (specify) _____			0.00	SUBTOTAL (1) (\$ 740.00)			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Ralph T. Webb	Registration No. (Attorney/Agent)	33,047
Signature		Telephone	703-312-6600
		Date	10-16-2001

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